



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Trademark Office
Address: COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, Virginia 22313-1450
www.uspto.gov

BIB DATA SHEET

CONFIRMATION NO. 5447

SERIAL NUMBER 10/813,260	FILING or 371(c) DATE 03/31/2004 RULE	CLASS 705	GROUP ART UNIT 3694	ATTORNEY DOCKET NO. 05997.0013-0300	
APPLICANTS Paul A. Thomas, Ashburn, VA; Clifford V. Rossi, Westlake Village, CA; Robert J. Fishman, Olney, MD; Martin M. Loketec, Reston, VA;					
** CONTINUING DATA ***** This application is a CIP of 10/096,584 03/14/2002 PAT 7,099,843 which is a CIP of 09/602,254 06/23/2000 PAT 7,028,007 which claims benefit of 60/151,071 08/27/1999					
** FOREIGN APPLICATIONS *****					
** IF REQUIRED, FOREIGN FILING LICENSE GRANTED ** 06/12/2004					
Foreign Priority claimed <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No 35 USC 119(a-d) conditions met <input type="checkbox"/> Yes <input checked="" type="checkbox"/> No Verified and Acknowledged <u>/SAMICAL NORMAN/</u> Examiner's Signature <u>sm</u> Initials		STATE OR COUNTRY VA	SHEETS DRAWINGS 16	TOTAL CLAIMS 33	INDEPENDENT CLAIMS 12
ADDRESS FINNEGAN, HENDERSON, FARABOW, GARRETT & DUNNER LLP 901 NEW YORK AVENUE, NW WASHINGTON, DC 20001-4413 UNITED STATES					
TITLE Risk-based reference pool capital reducing systems and methods					
FILING FEE RECEIVED 1908	FEES: Authority has been given in Paper No. _____ to charge/credit DEPOSIT ACCOUNT No. _____ for following:		<input type="checkbox"/> All Fees		
			<input type="checkbox"/> 1.16 Fees (Filing)		
			<input type="checkbox"/> 1.17 Fees (Processing Ext. of time)		
			<input type="checkbox"/> 1.18 Fees (Issue)		
			<input type="checkbox"/> Other _____		
<input type="checkbox"/> Credit					